

MAY 14 2002

Sheet 1 of 1 sheet(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. JDRY/0002	Serial No. 10/007,893
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Dryer, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date 11/13/2001	Group
Examiner	Unknown		

U.S. Patent Documents

*Examiner Initial	Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
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Foreign Patent Documents

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OTHER ART

*Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.			
	C1	Dryer et al., Disclosure Document No. 499111, 8/27/2001, "Digital Signature Pass Phrase Escrow"		
	C2			
	C3			

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U.S. Patent Documents							
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
ZD	A1	6,363,488	03/26/2002	Ginter et al.	713	201	06/07/1999
ZD	A2	6,304,975	10/16/2001	Shipley	713	201	08/02/2000
ZD	A3	6,119,236	09/12/2000	Shipley	713	207	12/10/1998
ZD	A4	6,061,798	05/09/2000	Coley et al.	713	201	10/19/1998
ZD	A5	5,917,912	06/29/1999	Ginter et al.	380	24	01/08/1997
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ZD	A11	5,826,014	10/20/1998	Coley et al.	395	187.01	02/06/1996
ZD	A12	4,701,840	10/20/1987	Boebert et al.	364	200	06/20/1986
ZD	A13	4,621,321	11/04/1986	Boebert et al.	364	200	02/16/1984
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OTHER ART Technology Center 2100							
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.					
ZD	C1	Senner, "Anatomy of a Stateful Firewall," 5/9/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)					
ZD	C2	<u>www.cyber-ark.com</u> , Products, "The Network Vault Concept," 2001					
ZD	C3	Austin, "The Divine Right of Kings: Domain Administrators and Your (In)secure Network," 8/17/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)					
Examiner <i>[Signature]</i>				Date Considered 6/17/05			
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BD	C4	Willert, "Best Computer Security Practices for Home, Home Office, Small Business, and Telecommuters," 10/22/2001, from <u>SANS Institute</u> Information Security Reading Room (online)
BD	C5	Conry-Murray, "Cyber-Ark's PrivateArk Network Vault," 1/5/2001, <u>Network Magazine</u>
BD	C6	"Client Security in the Enterprise Network: Dell's Perspective," 2/2000, <u>Dell Highlight</u>
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20	C7	Crider, "A 6-Layer Defense for an I.T. Professional's Home Network," 11/22/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)			
20	C8	Sutherland, "4 th Generation of Linux Based Firewalls," 4/11/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)			
20	C9	Leath, "Addressing and Implementing Computer Security for a Small Branch Office," 10/10/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)			

Examiner *[Signature]*

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20	C10	Wan, "Distributed Firewall," 5/28/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)	
20	C11	Jirak, "Security Issues of Integrating a Stand-Alone System Into Corporate Network," 1/21/2002, <u>SANS Institute</u> Information Security Reading Room (on-line)	
20	C12	Heinlein, "Linux/etc., Firewalling with iptables...", 2/2000, Vol. 10, No. 2, <u>Computer Bits</u>	
20	C13	Russell, "Best Defense: Network Security with Linux 2.4," 1/2000, <u>Linux Magazine</u>	
20	C14	Ozdemir, "Non-Malicious Destruction of Data," 8/23/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)	

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20	C15	Bell, "Security Strengths and Weaknesses of Two Popular Web Servers," 8/19/2001, <u>SANS</u> Institute Information Security Reading Room (on-line)				
20	C16	NetScreen Technologies Inc., "Solving Business Problems with Virtual Private Networks; An overview of seven key issues for selecting, deploying and managing security technology," <u>www.ITworld.com</u> White Paper				
20	C17	Kenshi, "Iptables Basics NHF," 12/29/2001, <u>www.Linuxnewbie.org</u>				
20	C18	Robbins, "Linux 2.4 Stateful Firewall Design," <u>ibm.com/developerWorks</u>				

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ZD	C19	"Personal Firewalls: Firewall Protection for PCs and Home Networks," 07/2001, Dell Vectors White Paper					
ZD	C20	Halpern, et al., "SAFE VPN IPSec Virtual Private Networks in Depth," 2001, Cisco Systems White Paper					
ZD	C21	Pisetsky, "Securing e-Commerce Web Sites," 1/18/2002, <u>SANS Institute</u> Information Security Reading Room (on-line)					
ZD	C22	Gwaltney, "Protecting the Next Generation Network – Distributed Firewalls," 10/7/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)					

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20	C23	Geiger, "Proactively Guarding Against Unknown Web Server Attacks," 9/12/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)				
20	C24	Sample PKI Comparison Column, 11/12/2001, www.security.gartner.com				
20	C25	Wallace, "Personal Firewalls: Not Enough," 2/12/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)				
20	C26	Alberts, "OCTAVE SM Threat Profiles," Software Engineering Institute, Carnegie Mellon University				

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20	C27	"Internet Risk Impact Summary for December 22, 2001 through March 21, 2002," X-Force™ Global Threat Operations Center, Internet Security Systems
20	C28	Lawson, "Hardening in the Enterprise: Always an After Thought?," 4/9/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)
20	C29	Simmons, "Firewall Network Appliance," 10/10/2000, <u>SANS Institute</u> Information Security Reading Room (on-line)
20	C30	Curtin, "Snake Oil Warning Signs: Encryption Software to Avoid," 4/10/1998, <u>www.interhack.net</u>

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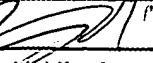
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20	C31	Flanagan, "Egress Filtering – Keeping the Internet Safe from Your Systems," 4/30/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)	
20	C32	"Disk Mirroring – Local or Remote," 2001, CNT White Paper	
20	C33	"Detecting Signs of Intrusion," 2000, a CERT® Security Improvement Module, Software Engineering Institute, Carnegie Mellon University	
20	C34	Grotevant, "Basic Apache Security Considerations," 5/20/2001, <u>SANS Institute</u> Information Security Reading Room (on-line)	

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ZD	C35	Bridge, "Achieving Defense-in-Depth with Internal Firewalls," 8/15/2001, <u>SANS</u> Institute Information Security Reading Room (on-line)				
ZD	C36	Bace, et al., "Intrusion Detection Systems," National Institute of Standards and Technology Special Publication				
ZD	C37	"Principles of Secure Network Design," NetScreen Technologies, Inc. White Paper				
ZD	C38	Amaladoss, "Managed Security Services – An Evolving Security Solution!," 3/8/2001, <u>SANS</u> Institute Information Security Reading Room (on-line)				

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30	C39	Brockway, "Layered Security: An ISP Case Study with Cisco and Solaris," 10/19/2000, <u>SANS Institute Information Security Reading Room (on-line)</u>				
30	C40	Fratto, "Integrated Security Suites," 12/4/2000, Security, www.infowar.com				
30	C41	Poole, et al., "Tips for Tracking the E-Mail Trail," 1/2001, Computer Security, www.securitymanagement.com				
30	C42	Mueller, et al., "To Catch a Thief," 8/20/2001, www.infowar.com				

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